PART 5A: DIRECTOR'S/TRUSTEE'S CERTIFICATE

Director's/Trustee's Certificate

Audited financial statements of

for the financial year ending

Pursuant to section (tick appropriate regulatory legislation)

- \Box 17C(1)(a) of the Banks and Trust Companies Act, 1990,
- 17C(1)(a) of the Company Management Act, 1990,
- 57(1)(a) of the Insurance Act, 2008,
- $\boxed{23(1)(a)}$ of the Financing and Money Services Act, 2009,
- \Box 71(1)(a) of the Securities and Investment Business Act, 2010,

and section 59 of the Regulatory Code, 2009,

(Name of Licensee/Public Fund), holder of Licence

No. issued by the Financial Services Commission, has prepared and hereby

submits the attached financial statements for the financial year ending

In preparing these financial statements, the undersigned, being a duly authorised

director/trustee of the licensee/public fund, hereby certifies that:

• the financial statements have been prepared in accordance with

accounting standard (specify accounting standard used);

• the financial statements have been audited and the auditor's report is attached herewith:

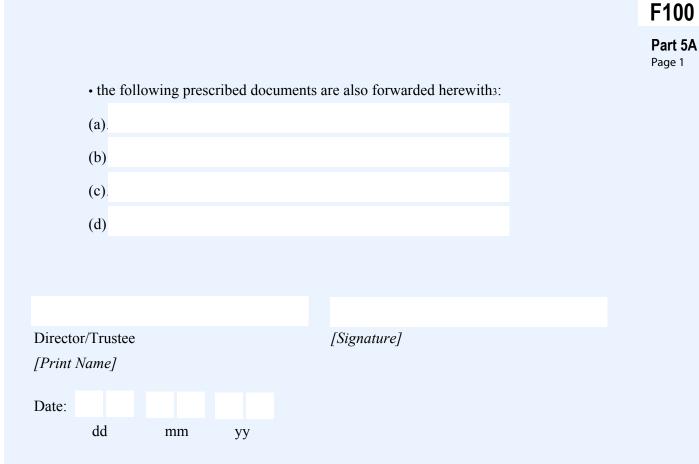
• the financial statements have been duly signed and dated, and approved by the board of directors of the licensee/public fund;

• the auditor's certificate of compliance is attached herewith2;

• a report concerning the activities of the licensee/public fund, made to the members

of the licensee/public fund for the financial year under review, is also attached

herewith (please identify the name of the report, if applicable)



¹ Where the auditor qualifies his opinion, and reasons therefor are known to the licensee/public fund, adequate disclosure on the reasons for such qualification must be provided by the director when submitting this certificate.

² Financial statements must show the date on which they were approved by the entity's Board of Directors

³ Applicable to Banks and Trust Companies and Company Management licensees only.

⁴ Applicable only where additional documents have been prescribed by the Commission or pursuant to the relevant regulatory legislation.