

PART 5A: DIRECTOR'S/TRUSTEE'S CERTIFICATE**Director's/Trustee's Certificate**

Audited financial statements of [redacted] *for the financial year ending* [redacted]

Pursuant to section (*tick appropriate regulatory legislation*)

- 17C(1)(a) of the Banks and Trust Companies Act, 1990,
- 17C(1)(a) of the Company Management Act, 1990,
- 57(1)(a) of the Insurance Act, 2008,
- 23(1)(a) of the Financing and Money Services Act, 2009,
- 71(1)(a) of the Securities and Investment Business Act, 2010,

and section 59 of the Regulatory Code, 2009,

[redacted] (*Name of Licensee/Public Fund*), holder of Licence

No. [redacted] issued by the Financial Services Commission, has prepared and hereby submits the attached financial statements for the financial year ending [redacted].

In preparing these financial statements, the undersigned, being a duly authorised director/trustee of the licensee/public fund, hereby certifies that:

- the financial statements have been prepared in accordance with [redacted] accounting standard (*specify accounting standard used*);
- the financial statements have been audited and the auditor's report is attached herewith₁;
- the financial statements have been duly signed and dated, and approved by the board of directors of the licensee/public fund;
- the auditor's certificate of compliance is attached herewith₂;
- a report concerning the activities of the licensee/public fund, made to the members of the licensee/public fund for the financial year under review, is also attached herewith (*please identify the name of the report, if applicable*) [redacted]; and

• the following prescribed documents are also forwarded herewith:

- (a)
- (b)
- (c)
- (d)

Director/Trustee
[Print Name]

[Signature]

Date:
dd mm yy

1 Where the auditor qualifies his opinion, and reasons therefor are known to the licensee/public fund, adequate disclosure on the reasons for such qualification must be provided by the director when submitting this certificate.
2 Financial statements must show the date on which they were approved by the entity's Board of Directors
3 Applicable to Banks and Trust Companies and Company Management licensees only.
4 Applicable only where additional documents have been prescribed by the Commission or pursuant to the relevant regulatory legislation.