



**REFERENCE NUMBER**

*(For FSC use ONLY)*

**NOTIFICATION**

**OF**

**CREATION OF SEGREGATED PORTFOLIOS**

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**Please forward completed forms to:**

British Virgin Islands Financial Services Commission  
P.O. Box 418, Pasea Estate,  
Road Town, Tortola, British Virgin Islands  
Tel: 284-494-1324  
Fax: 284-494-5016  
commissioner@bvifsc.vg

*This form and annexes thereto should be printed or completed using INK and BLOCK CAPITALS or typescript for all answers. If there is insufficient space, please continue on a separate sheet of paper, and clearly identify the section and question to which the additional information relates.*

**NOTES TO APPLICANTS:**

- (A) This application should be completed by a mutual fund SPC which is required under section 7(1) of the Segregated Portfolio Companies Regulations, 2005 to notify the Commission of the creation of segregated portfolios and should be accompanied by the appropriate fee (\$250 per segregated portfolio).**
- (B) This notification must be sent to the Commission within 14 days of the creation of the segregated portfolio.**
- (C) Please ensure that the offering document for each of the additional segregated portfolios which have been created is submitted together with this notification**
- (D) Any change in information contained in this application form or submitted in support of this application should be notified in writing to the Commission within 14 days of the date of such change.**



**SECTION B: DETAILS OF FUNCTIONARIES**

State the following details for each functionary that will be appointed to act in respect of each segregated portfolio.

**Manager(s)**

<b>Name</b>	<b>Jurisdiction of Incorporation</b>	<b>Address of place of business</b>	<b>Business Activities</b>	<b>Name of portfolio(s) for which the functionary is appointed (if applicable)</b>

**Administrator(s)**

<b>Name</b>	<b>Jurisdiction of Incorporation</b>	<b>Address of place of business</b>	<b>Business Activities</b>	<b>Name of portfolio(s) for which the functionary is appointed (if applicable)</b>

**SECTION B: DETAILS OF FUNCTIONARIES (Cont'd)**

State the following details for each functionary that will be appointed to act in respect of each segregated portfolio.

**Investment Advisor(s)**

<b>Name</b>	<b>Jurisdiction of Incorporation</b>	<b>Address of place of business</b>	<b>Business Activities</b>	<b>Name of portfolio(s) for which the functionary is appointed (if applicable)</b>

**Custodian(s)**

If there is to be no custodian please explain why and provide an indication of who will be responsible for the safeguarding of clients' assets and monies.

<b>Name</b>	<b>Jurisdiction of Incorporation</b>	<b>Address of place of business</b>	<b>Business Activities</b>	<b>Name of portfolio(s) for which the functionary is appointed (if applicable)</b>

*For Financial Services Commission Use only*

Date Rec'd

Application Fee Rec'd: \_\_\_\_\_

**Action Taken**      **Date**      **Initial**

**Action Taken**      **Date**      **Initial**

*Acknowledged* \_\_\_\_\_

*Further Enquiries* \_\_\_\_\_

*Receipt No:* \_\_\_\_\_

*Further Enquiries* \_\_\_\_\_

*Decision* \_\_\_\_\_

*Further Enquiries* \_\_\_\_\_