

**FORM C**

**DECLARATION FORM FOR AN EXEMPTION FROM APPOINTING  
A COMPLIANCE OFFICER**

**TO:** Managing Director/CEO  
Financial Services Commission  
Pasea Estate  
Road Town  
Tortola  
BRITISH VIRGIN ISLANDS

I, ..... (*name of person making the declaration*) being a director/senior officer of ..... (*name of regulated person*), whose registered address is at..... hereby makes this declaration for and on behalf of the said ..... (*name of regulated person*) as follows:

I declare that in support of the application by ..... (*name of regulated person*) for an exemption from appointing a Compliance Officer, the said ..... (*name of regulated person*) is

(a) a Class I/Class II/Restricted Class II\* licensee without a physical presence in the Virgin Islands and it is regulated in ..... (*name of the jurisdiction where its business is conducted*)/it is a part of a group of companies known as ..... (*state name*) that is subject to regulatory supervision by ..... (*name of supervisory body*) of..... (*name of jurisdiction*)\*; or

(b) a Class III/restricted Class III trust\* licensee with ..... (*state number*) employees

I further declare that the information provided in this declaration is true and correct according to my knowledge and belief.

This declaration is made this          day of          , 20   .

.....  
(*Name of declarant*)

.....  
(*Signature of declarant*)

.....  
.....  
.....  
.....  
.....

*(Address of declarant)*

***\*Delete as necessary***